



TRENDS, TIPS AND LESSONS LEARNED

January 2011

Some of our Endorsements

"Our members, being both high net worth individuals and private investors, need to be wary of potential fraudulent landmines when investing. This book provides both a guide and resources of savvy due diligence practices to help navigate those pitfalls." — **Jonathan L. Kempner, President, TIGER 21**

"Worried about being defrauded? You should be! Former FBI Special Agent Kenneth S. Springer reveals the best tactics for discovering financial fraud— before you make the investment!" — **Don Dixon, Managing Director, Trident Capital**

"This is essential reading for anyone who wants to invest without losing their shirt the old-fashioned way-by getting ripped off!" — **Jonathan Wald, Graduate School of Journalism, Adjunct Professor, Columbia University; and former Senior Vice President, CNBC**

"Your tip to good due diligence is Third Party Verification, which, of course, requires background checks of potential managers and business partners. Surround yourself with the talent to avoid making mistakes harmful to your career and financial well-being. Kenneth S. Springer and Joelle Scott will show you the way to succeed!" — **Frank Casey, Member of The Fox Hounds, SEC Madoff Whistle Blowing Team Led by Harry Markopolos**

Other endorsers include:

John D. Gill, Association of Fraud Examiners
Dr. Markus P. Bolsinger, Kirkland & Ellis LLP
Gail Long, Getzler Henrich & Associates LLC
James Parsons, RFE Investment Partners
Gregory Zuckerman, The Wall Street Journal
Dr. Susan Mangiero, Investment Governance Inc.

Happy New Year! Wishing you the best for 2011. We are excited to announce the release of our new book, "Digging for Disclosure: Tactics for Protecting Your Firm's Assets from Swindlers, Scammers and Imposters."

Using our arsenal of cases, we illustrate why investors and others need to know more before they ink a deal and what can happen if these investigative steps are overlooked. The stories are real but have been fictionalized to protect the confidentiality of our clients. We also present critical information that shows why investors could have avoided the sting of recent Ponzi schemes, such as Madoff and Stanford.

